

02-05-2002



1-30-02

FORM PTO-1596
1-31-92 Patent and Trademark Office

RECORDATION FORM C

DOCKET NO.: 87304.1860

101972006
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereto:

1. Name of conveying party(ies):
Thomas M. Golner, Edward F. Pleva and Shrish P. Mehta

2. Name and address of receiving party(ies):

Name: Waukesha Electric Systems, Inc.

Internal Address: _____

Street Address: 400 S. Prairie Avenue

City: Waukesha State/Country: WI ZIP: 53186

Additional name(s) & address(es) attached? Yes ☒ NoAdditional name(s) of conveying party(ies) attached? Yes ☒ No

Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other _____

Execution Date: December 19, 2001

4. Application number(s) or patent number(s): 10/017,381

If the document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s).

B. Patent No(s).

Additional numbers attached? Yes ☐ No ☒

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: BAKER & HOSTETLER LLP

Internal Address: _____

Street Address: Washington Square, Suite 1100,

1050 Connecticut Avenue, N.W.

City: Washington State: DC ZIP: 20036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41)

\$ 40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit account number:

50-2036

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dawn M. Sims, Registration No. 47,090

Name and Registration No. of Person Signing

Signature

Date

Total number of pages 4

CMB No. 0851-0011 (exp. 4/94)

02/04/2002 TDI A21 00000149 10017381

01 FC:581

40.00 OP

Docket No.: 87304.1860

PATENT

ASSIGNMENT

WHEREAS We, Thomas M. Golner, Edward F. Pleva and Shrish P. Mehta, having an address of W. 277 N. 2242 Lakeview, Pewaukee, WI 53072, 2928 Berkshire Drive, Waukesha, WI 53188 and, W. 270 S. 25270 Apache Pass, Waukesha, WI 53186, respectively, ("Assignor"), have made a certain new and useful invention as set forth in an application for United States Letters Patent entitled **APPARATUS AND METHOD FOR CONTROLLING THE TEMPERATURE OF THE CORE OF A SUPER-CONDUCTING TRANSFORMER**, executed by me on the date of execution of this document, as shown below, and filed concurrently herewith;

AND WHEREAS, Waukesha Electric Systems, Incorporated, (WI Corporation), having an address 400 S. Prairie Avenue, Waukesha, WI 53186, ("Assignee"), is desirous of acquiring the entire right, title and interest in and to said invention, and in and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

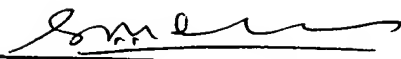
NOW, THEREFORE, for good and valuable consideration, the receipt for and sufficiency of which is hereby acknowledged, Assignor does hereby sell, assign, transfer and set over unto Assignee, its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention, including the right to claim priority under 35 U.S.C. §119 and the right to sue for past infringement, as set forth in the above-mentioned application, including any continuations, continuations-in-part, divisions, reissues, re-examinations or extensions thereof, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATION, Assignor hereby agrees with the said Assignee that Assignor will not execute any writing or do any act whatsoever conflicting with these presents, and that Assignor will, at any time upon request, without further or additional consideration but at the expense of said Assignee, execute such additional assignments and other writings and do such additional acts as said Assignee may deem necessary or desirable to perfect the Assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional, continuations, continuations-in-part, reexamined, reissued, or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or choses in action accruing as a result of such applications or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being understood that the foregoing covenant and agreement shall bind, and inure to the benefit of the assigns and legal representatives of Assignor and Assignee;

The undersigned hereby grant(s) the firm of **BAKER & HOSTETLER LLP** the power to insert on this Assignment any further identification, including the application number and filing date, which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

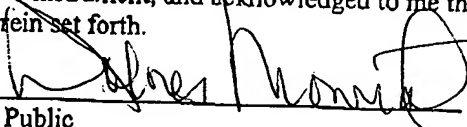
Docket No.: 87304.1860

PATENT

December 19, 2001
Date
Shirish MehtaSTATE OF WISCONSIN)
COUNTY OF WAUKESHA) SS:

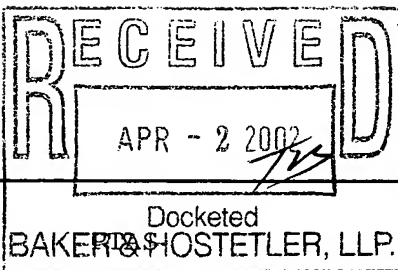
On this 19th day of Dec., 2001, before me personally appeared Shirish Mehta to me known to be the persons named in and who executed the above instrument, and acknowledged to me that they executed the same for the uses and purposes therein set forth.

SEAL


Notary Public

My commission expires February 27, 2005

(NOTE: Legalization is not required for recording, but is *prima facie* evidence of execution under 35 U.S.C. 261).



MARCH 28, 2002

BAKER & HOSTETLER LLP
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Chief Information Officer
Washington, DC 20231
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DMS
87304.1860



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UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/30/2002

REEL/FRAME: 012528/0284
NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
GOLNER, THOMAS M.

DOC DATE: 12/19/2001

ASSIGNOR:
PLEVA, EDWARD F.

DOC DATE: 12/19/2001

ASSIGNOR:
MEHTA, SHRISH P.

DOC DATE: 12/19/2001

ASSIGNEE:
WAUKESHA ELECTRIC SYSTEMS, INC.
400 S. PRAIRIE AVENUE
WAUKESHA, WISCONSIN 53186

SERIAL NUMBER: 10017381
PATENT NUMBER:

FILING DATE: 12/18/2001
ISSUE DATE:

012528/0284 PAGE 2

KIMBERLY WHITE, EXAMINER
ASSIGNMENT DIVISION
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